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CLIENT/MATTER NAME	U.S. Patent Appln. No. 09/818,765
CLIENT/MATTER NO.	448563/0191

## MESSAGE

Enclosed please find:

1. Certificate of Transmission;
2. Fee Transmittal for FY 2004;
3. Petition for Extension of Time (PTO/SB/22);
4. Amendment and Supplemental Information Disclosure Statement;
5. Information Disclosure Statement by Applicant (PTO/SB/08a); and
6. One reference.

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JUN 02 2004

**OFFICIAL**

Docket No.  
448563/0191

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: **Shuichi Yamaguchi, et al.**

Group Art Unit: 2861

Application No.: 09/818,765

Examiner: **Judy Nguyen**

Filed: **March 27, 2001**

For: **A METHOD OF REFILLING AN INK CARTRIDGE FOR USE IN INK  
JET RECORDER**

Date: **June 2, 2004**

**CERTIFICATE OF TRANSMISSION**  
**BY FACSIMILE (37 C.F.R. § 1.8)**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Fee Transmittal for FY 2004 (PTO/SB/17) (1 pg); Petition for Extension of Time  
(PTO/SB/22) (1 pg); Amendment and Supplemental Information Disclosure Statement (14  
pgs); PTO/SB/08a (1 pg); and 1 reference**

is being transmitted by facsimile to the United States Patent and Trademark Office in  
accordance with 37 C.F.R. § 1.8 on the following date: **June 2, 2004**

**David L. Schaeffer**

(Typed Or Printed Name Of Person Signing this Certificate)

*David L. Schaeffer*  
(Signature)

SSL-DOCS1 1484151 v1

PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 172.00**Complete if Known**

Application Number	09/818,765
Filing Date	March 27, 2001
First Named Inventor	Shuichi Yamaguchi, et al.
Examiner Name	Judy Nguyen
Art Unit	2861
Attorney Docket No.	448563/0191

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
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The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

**SUBTOTAL (1)** (\$) 0.00**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X 18	= 0
Multiple Dependent	-3** =	X 86	= 172

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$) 172.00

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.128(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.128(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 0.00**SUBMITTED BY**

Name (Print/Type) David L. Schaeffer

Signature *David L. Schaeffer*Registration No.  
(Attorney/Agent)

32,716

(Complete if applicable)

Telephone (212) 806-5400

Date June 2, 2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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JUN 02 2004

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Docket No.  
448563/0191  
LR:DLS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Shuichi Yamaguchi, et al.

Group Art Unit: 2861

Application No.: 09/818,765

Examiner: J. Nguyen

Filed: March 27, 2001

For: **A METHOD OF REFILLING AN INK  
CARTRIDGE FOR USE IN INK JET RECORDER**

Date: June 2, 2004

**AMENDMENT AND  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action of the United States Patent and Trademark Office mailed on December 3, 2003, the time for reply to which having been extended for three months from March 3, 2004, to and including June 3, 2004, by the accompanying Petition For Extension of Time Under 37 C.F.R. § 1.136(a) and fee, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 6 of this paper.

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